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ATTORNEY DOCKET NO. EMC01-41(00024)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

J1050 U.S. PTO  
10/036333  
12/24/01

Applicants: William D. Slyva, Gerard T. Carraher, Tuan Q. An, Jeff Emerson,  
Timothy F. McCain, Neil J. Thibodeau and Kristen D. Martin  
Serial No.: Unassigned  
For: METHODS AND APPARATUS FOR ESTABLISHING  
COMMUNICATIONS WITH A DATA STORAGE SYSTEM  
Filing Date: December 24, 2001  
Examiner: Unassigned  
Art Unit: Unassigned

UTILITY PATENT APPLICATION TRANSMITTAL

Certificate of Mailing Under 37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: **BOX PATENT APPLICATION**, Assistant Commissioner for Patents, Washington, DC 20231 on:

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**BOX PATENT APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is a patent application entitled:

**METHODS AND APPARATUS FOR ESTABLISHING  
COMMUNICATIONS WITH A DATA STORAGE SYSTEM**

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Named Inventor(s) / Inventor Address(es) / Inventor Citizenship(s):

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CLAIMS	Number Filed	Number Extra	Rate	Calculations
Total Claims	58-20 =	38	x \$18	\$ 684.00
Independent Claims	7-3 =	4	x \$84	\$ 336.00
Multiple Independent Claims			+ 260 =	\$ 0.00
BASIC FILING FEE =				\$ 740.00
Total of Above Calculations =				\$1,760.00
Assignment Recordation Fee =				\$ 0.00
TOTAL FEE FOR THIS PATENT APPLICATION =				\$1,760.00

**Enclosed is/are:**

- ☒ Transmittal Letter including fee calculations (this form, 4 pages) (in duplicate), Total Pages: 8;
- ☒ Utility Patent Application Specification including Title of the Invention, Background of the Invention, Summary of the Invention, Brief Description of the Drawings, Detailed Description, 58 Claims (7 Independent, 51 dependent), Abstract of the Disclosure, Total Pages: 70;
- ☒ Drawings: ☒ Formal, [ ] Informal (Figs: 1, 2, 3, 4, 5, 6, 7, 8, 9), Total Sheets: 9;
- ☒ Return Receipt Pre-paid Postcard (in duplicate), Total postcards: 2.

**Claim to Benefit of Filing Date of Earlier Filed Application:**

This Patent Application claims the benefit of the filing date of the following earlier filed co-pending Provisional Patent Application:

Provisional Patent Application Serial Number 60/268,778, entitled "METHODS AND APPARATUS FOR ESTABLISHING COMMUNICATIONS WITH A DATA STORAGE SYSTEM," filed on February 14, 2001, having Attorney Docket No. EMC00-26(00024).

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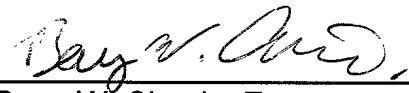
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If the enclosed papers or fees are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (508) 366-9600, in Westborough, Massachusetts.

Respectfully submitted,

By:

  
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Attorney Docket No.: EMC01-41(00024)

Dated: December 24, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	William D. Slyva, et al.	
Title	METHODS AND APPARATUS FOR ESTABLISHING COMMUNICATIONS WITH A DATA STORAGE SYSTEM	
Atty. Docket Number	EMC01-41(00024)	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

December 24, 2001

Date

*Barry W. Chapin*

Signature

Barry W. Chapin

Type or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 35 U.S.C. 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington DC 20231.